

**University of Pennsylvania
Political Science 655-401
Democratization
Spring 2006**

Prof. Tulia Falleti

Seminar Meeting Time: 4:00 to 7:00pm

Location: PSYL C41

Office hours: Tuesdays 2:00 to 3:30pm and by appointment

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Course Description

This graduate class focuses on issues of political regime change and democratization, as studied in the comparative politics literature. We will start by examining definitions of democracy and democratic regimes. Next, we will study different political theories on how democracies come about. In analyzing these approaches, we will assess the relative strength of explanations based on structural, institutional, and individual-level variables. Of particular interest will be the relationship between economic development and democracy. The last part of the seminar concentrates on processes of democratic transition and consolidation in the “third wave” of democratization. Among other themes, we will explore issues of “stateness” and territorial politics, the role of civil society, and the political strategies of parties and leaders in different democratization contexts.

Course Requirements

- Class participation, oral presentations and commentaries: 50%
- Final exam or final paper and proposal: 50%

Class participation:

Timely reading of weekly assignments and active participation in class discussion are essential requirements of this seminar.

Oral presentations:

Depending on the size of the class, students will introduce the readings of one, two, or three weeks. Presenters will be responsible for the required as well as recommended materials. These presentations will be about fifteen-minute long. They should address the main arguments and topics on the readings and pose two or three questions for class debate that should be emailed to the instructor by Monday at 5pm (they can be sent with the weekly commentary, if preferred). Note: Please do not summarize the readings, concentrate instead on the main arguments, theoretical concepts, methodology, and data analysis. Whenever possible, draw relationships with previously discussed topics and authors.

Commentaries:

At least eight commentaries of weekly readings will be due on Mondays by 5pm. Under the “Communications” tab of the course webpage, choose “send email” and “all users” to distribute your commentary to the rest of the class. In two to three pages, commentaries should critically analyze one or more of the main issues of the week’s readings and, as the semester progresses, draw comparisons and links with previous weeks’ materials. They should not be summaries of the readings but comments or critiques that will be further discussed during our seminar. Late commentaries will not be accepted. Oral presentations and commentaries will be evaluated on a “poor, fair, good, very good, or excellent” scale.

Final exam or final paper and proposal:

Political science graduate students are highly encouraged to take the final exam. This exam will simulate Part II of the field examination in comparative politics, but with an exclusive focus on the democratization issues covered in this class. The exam will consist of two essays and will be held on Wednesday May 3rd from 9am to 12pm.

Graduate students in other departments may either choose to take this exam or write a 8,000- to 10,000-word seminar or research paper relating to the main topics of the course. The paper topic and selection of cases have to be discussed with the instructor prior to the Spring break. A five-page paper proposal will be due in class on March 21st. This paper proposal should include the main question or questions to be answered (make sure these questions *can* be answered), their relevance (e.g. its relationship to larger topics or problems), tentative hypothesis, and the plan for answering the questions (methodology, bibliography, and, if relevant, data to be used). The paper will be due on Wednesday May 3rd by noon (please submit to me by email AND place a hard copy of the paper in my mailbox: in Stiteler Hall 217). Lateness policy: a third of a grade will be dropped for each day the paper is late.

Course Materials

The following books are (or will be soon) available for purchase at the Penn Bookstore-3601 Walnut Street, 898-4500, coursebk@pobox.upenn.edu.

Required books:

- Dahl, Robert. 1971. *Polyarchy. Participacion and Opposition*. New Haven and London: Yale University Press.
- Rueschemeyer, Dietrich, Evelyne Stephens Huber, and Stephens John D. 1992. *Capitalist Development and Democracy*. Chicago: Chicago UP.
- O'Donnell, Guillermo, and Philippe C. Schmitter. 1986. *Transitions from Authoritarian Rule. Tentative Conclusions about Uncertain Democracies*. Baltimore and London: The Johns Hopkins University Press.
- Przeworski, Adam. 1991. *Democracy and the market. Political and economic reforms in Eastern Europe and Latin America*. Cambridge UP.
- Collier, Ruth. 1999. *Paths Toward Democracy: The Working Class and Elites in Western Europe and South America*. Cambridge UP.

- Wood, Elizabeth Jean. 2000. *Forging Democracy from Below. Insurgent Transitions in South Africa and El Salvador*. Cambridge UP.
- Wood, Elizabeth Jean. 2003. *Insurgent Collective Action and Civil War in El Salvador*. New York: Cambridge UP.
- Linz, Juan J., and Alfred Stepan. 1996. *Problems of Democratic Transition and Consolidation. Southern Europe, South America, and Post-Communist Europe*. Baltimore and London: The Johns Hopkins University Press.
- Boone, Catherine. 2003. *Political Topographies of the African State. Territorial Authority and Institutional Choice*. New York: Cambridge UP.
- Howard, Marc Morjé. 2003. *The Weakness of Civil Society in Post-Communist Europe*. Cambridge: Cambridge UP.
- Grzymala-Busse, Anna M. 2002. *Redeeming the Communist Past. The regeneration of Communist Parties in East Central Europe*. Cambridge: Cambridge UP.
- Stokes, Susan C. 2001. *Mandates and Democracy. Neoliberalism by Surprise in Latin America*. Cambridge UP.

Recommended Book:

- Przeworski, Adam, et al. 2000. *Democracy and Development. Political Institutions and Well-Being in the World, 1950-1990*. Cambridge University Press.

Other materials

Other readings will be available in Blackboard. The course website can be accessed through <https://courseweb.library.upenn.edu/>

Schedule of Topics, Readings, and Assignments

Part 1 – Introduction and Definitions

Week 1. January 10

Self-introductions and course logistics

Week 2. January 17 – Definitions

Dahl, Robert. 1971. *Polyarchy. Participacion and Opposition*. New Haven and London: Yale University Press.

Schumpeter, Joseph A. 1942. *Capitalism, Socialism, and Democracy*. New York: Harper & Row, Publishers. Chapter XXI “The Classical Doctrine of Democracy” (skim), Chapter XXII “Another Theory of Democracy,” and Chapter XXIII “The Inference” (skim).

Collier, David, and Steven Levitsky. 1997. "Democracy with Adjectives: Conceptual Innovation in Comparative Research." *World Politics* 49 (3):430-451.

Part 2 – Economic Development, Social Actors, and Democratization

Week 3. January 24 – Modernization Theory, Economic Development, and Democratization

- Lipset, Seymour Martin. 1959. "Some Social Requisites of Democracy: Economic Development and Political Legitimacy." *American Political Science Review* 53 (1):69-105.
- Przeworski, Adam, et al. 1996. "What Makes Democracies Endure?" *Journal of Democracy* 7 (1):39-55.
- Przeworski, Adam, and Fernando Limongi. 1997. "Modernization: Theories and Facts." *World Politics* 49 (2):155-183.
- Boix, Carles, and Susan C. Stokes. 2003. "Endogenous Democratization." *World Politics* 55:517-49.

Recommended:

- Huntington, Samuel P. 1968. *Political Order in Changing Societies*. New Haven and London: Yale UP. If you have not read it before, read section titled "Political Participation: Modernization and Political Decay," pp. 32-92.
- O'Donnell, Guillermo. 1986 [1973]. "Toward an Alternative Conceptualization of South American Politics." In *The Promise of Development. Theories of Change in Latin America*, edited by P. F. Klarén and T. J. Bossert. Boulder and London: Westview Press, 239-275.
- Przeworski, Adam, et al. 2000. *Democracy and Development. Political Institutions and Well-Being in the World, 1950-1990*. Cambridge University Press. (skim)

Week 4. January 31 – Capitalism, Bourgeoisie, Working Class, and Democratization

- Rueschemeyer, Dietrich, Evelyne Stephens Huber, and Stephens John D. 1992. *Capitalist Development and Democracy*. Chicago: Chicago UP. Selection
- Kitschelt, Herbert. 1992. "Political Regime Change: Structure and Process-Driven Explanations?" *American Political Science Review* 86 (4):1028-1034.

Recommended:

- Moore, Barrington Jr. 1966. *Social Origins of Dictatorship and Democracy. Lord and Peasant in the Making of the Modern World*. Boston: Beacon Press. If you have not read it before, read Chapter 7 "The Democratic Route to Modern Society."

Week 5. February 7 – Transitions to Democracy – Elites and Games

- Rustow, Dankwart. 1970. "Transitions to Democracy." *Comparative Politics* 2 (3): 337-363.
- O'Donnell, Guillermo, and Philippe C. Schmitter. 1986. *Transitions from Authoritarian Rule. Tentative Conclusions about Uncertain Democracies*. Baltimore and London: The Johns Hopkins University Press.

Przeworski, Adam. 1991. *Democracy and the market. Political and economic reforms in Eastern Europe and Latin America*. Cambridge UP. Introduction to Chapter 2, pp. ix to 99.

Recommended:

Huntington, Samuel P. 1991. *The Third Wave. Democratization in the Late Twentieth Century*. Norman and London: University of Oklahoma Press. Chapter 1 "What?," pp. 3-30.

Week 6. February 14 – Toward a Synthesis? Labor Unions and Elites

Collier, Ruth. 1999. *Paths Toward Democracy: The Working Class and Elites in Western Europe and South America*. Cambridge UP.

Schmitter, Philippe C. 1992. "The Consolidation of Democracy and Representation of Social Groups." *American Behavioral Scientist* 35 (4 and 5):422-449.

Week 7. February 21 – Insurgent Paths

Wood, Elizabeth Jean. 2000. *Forging Democracy from Below. Insurgent Transitions in South Africa and El Salvador*. Cambridge UP.

Recommended Film: *Amandla! A Revolution in Four Part Harmony*. 2002. Directed by Lee Hirsch.

Week 8. February 28 – Why Insurgency? Beyond Self-interested Approaches

Wood, Elizabeth Jean. 2003. *Insurgent Collective Action and Civil War in El Salvador*. New York: Cambridge UP.

Recommended Film: *Innocent Voices*. 2004. Directed by Luis Mandoki.

Week 9. March 7, Spring break. Enjoy!

Week 10. March 14

No class (Instructor at a conference) If you are planning on writing a final paper, work on your paper proposal, which will be due on March 21. Otherwise, get a head start on the readings of the upcoming weeks.

Part 3 – Issues of Democratic Consolidation

Week 11. March 21 – A Comprehensive Account

Paper proposals due for students opting to write a final paper.

- Linz, Juan J., and Alfred Stepan. 1996. *Problems of Democratic Transition and Consolidation. Southern Europe, South America, and Post-Communist Europe*. Baltimore and London: The Johns Hopkins University Press. Selection.
- Geddes, Barbara. 1999. "What do we know about democratization after twenty years?" *Annual Review of Political Science* 2:115-44.

Week 12. March 28 – “Stateness” and Territorial Politics

- Boone, Catherine. 2003. *Political Topographies of the African State. Territorial Authority and Institutional Choice*. New York: Cambridge UP.
- Gibson, Edward. 2006. "Boundary Control: Subnational Authoritarianism in Democratic Countries." Manuscript, Evanston.

Week 13. April 4 – Civil Society

- Howard, Marc Morjé. 2003. *The Weakness of Civil Society in Post-Communist Europe*. Cambridge: Cambridge UP.
- Booth, John A., and Patricia Bayer Richard. 1998. "Civil Society, Political Capital, and Democratization in Central America." *Journal of Politics* 60 (3):780-800.

Recommended Movie: Good Bye Lenin! 2003. Wolfgang Becker

Week 14. April 11 – Political Parties

- Grzymala-Busse, Anna M. 2002. *Redeeming the Communist Past. The regeneration of Communist Parties in East Central Europe*. Cambridge: Cambridge UP.

Week 15. April 18 – Political Leaders

- Stokes, Susan C. 2001. *Mandates and Democracy. Neoliberalism by Surprise in Latin America*. Cambridge UP.
- O'Donnell, Guillermo. 1999 [1994]. "Delegative Democracy." In *Counterpoints*. Notre Dame, Indiana: University of Notre Dame Press, 159-173.

May 3rd 9am-12pm: Final exam (location TBA); or final papers due by noon.