

University of Pennsylvania
Political Science 314-401 - Transitions to Democracy
(Cross-listed: Latin American and Latino Studies 314-401)
Spring Semester 2006

Prof. Tulia Falleti

Seminar meeting time: M - 2:00 to 5pm, Bennett Hall 222 (temporarily Towne Building 319)

Office hours: Tuesdays - 2:00 to 3:30pm and by appointment

Prof. Falleti's contact Information: Political Science Department, Stiteler Hall, Room 202;

215-898-4240; falleti@sas.upenn.edu

Course Description

In this course, we will review and analyze the literatures on regime change and compare the experiences of countries emerging from bureaucratic authoritarianism, military rule, and socialism. How do previous regimes and economic systems, political culture, social structure and socio-economic development, and international pressures affect attempts to build democratic institutions? We will employ concepts drawn from comparative and theoretical writings to investigate cases of regime change in Southern, Central, and Eastern Europe, Latin America, and other areas of the developing world.

Course Requirements

- Class participation: 15%
- Oral presentations: 15%
- Three short papers: 30% (10% each paper)
- Take-home final exam or final paper and proposal: 40% (Due May 5th at noon)

Class Participation:

Assistance to class is mandatory and timely reading of weekly assignments and active participation in class discussion are essential requirements of this seminar.

Oral Presentations (part of CWiC program):

In groups of two (or, if necessary, three) members, students will introduce the readings of one week. The oral presentation will be about fifteen-minute long (total). In this presentation, you should address the main arguments and topics of the readings and state the most important relationships that can be drawn among the readings of the week. You should try to apply the concepts and theories analyzed in the readings to at least one country of the third wave, in which you will develop some expertise throughout the semester (see homework assignment below). Please refrain from linearly summarizing the readings, focus instead on the main arguments, theoretical concepts, methodology, and data analysis. Analytical critiques of the readings are highly encouraged. As the semester progresses, you should also draw relationships with previously discussed cases, topics, and authors. At the end of the presentation, the group will

pose two or three questions for class discussion, which should be emailed to the instructor the Sunday before class, by 6pm.

In the course of the week prior to the presentation, with a final draft of the oral presentation, the group will have to meet with our CWiC (communication within the curriculum) advisor to receive feedback on the oral presentation. This is an essential component of this requirement, so make sure to schedule your group meeting with the CWiC advisor as soon as you can.

Short Papers:

Throughout the semester, students will write three short papers, about 5 double-spaced pages each. The topics of these papers will be distributed in class a week prior to the due date. The readings corresponding to the week in which the paper is due will be included in the assignment. Late short-papers will not be accepted.

Take-home final exam (Option A) or Final proposal and paper (Option B):

Option A: two essay topics will be distributed a week prior to the date of the final. Students will drop their two essays (which should be about 7 to 8 double-spaced pages each) in my mailbox by noon on May 5th.

Option B: Students will write a 6,000 to 7,000-word paper relating to the main topics of the course. The paper should focus on issues of democratization in one of the countries of the “third wave.” The paper topic and country selection have to be discussed with the instructor prior to the Spring break. A five page paper proposal will be due on March 20th. This paper proposal should include the main question or questions to be answered (make sure these questions *can* be answered), their relevance (e.g. its relationship to larger topics or problems) and the plan for answering them (methodology, bibliography, and, whenever relevant, data to be used).

Lateness policy: a third of a grade will be discounted to the final exam or paper for each day that is late.

Course Materials

The following books are required and will be available at the Penn Bookstore

- O'Donnell, Guillermo, and Philippe C. Schmitter. 1986. *Transitions from Authoritarian Rule. Tentative Conclusions about Uncertain Democracies*. Baltimore and London: The Johns Hopkins University Press
- Linz, Juan J., and Alfred Stepan. 1996. *Problems of Democratic Transition and Consolidation. Southern Europe, South America, and Post-Communist Europe*. Baltimore and London: The Johns Hopkins University Press.
- Lijphart, Arend. 1999. *Patterns of Democracy. Government Forms and Performance in Thirty-Six Countries*. New Haven and London: Yale UP.

This book is highly recommended:

- Hagopian, Frances and Scott P. Mainwaring (eds.) 2005 *The Third Wave of Democratization in Latin America. Advances and Setbacks*, New York: Cambridge UP.

All other materials will be posted under “Course Documents” in Blackboard.

Schedule of Topics, Readings, and Assignments

Part 1: Theoretical and Operational Definitions of Democracy in Political Science

Week 1: January 9th

Introduction – Class Presentation – Introductions

Homework: choose one country of the third wave (i.e. a country that has democratized after 1974) in which you would like to develop some expertise during this semester. Search on the web for reliable news sources for that country. Choose one or two sources to follow throughout the semester. You will have to consult the source/s regularly (ideally daily) to get a good grasp of current politics in this country.

Week 2: January 16th

No class – Martin Luther King, Jr. Day

Week 3: January 23rd

Homework due: turn in a document stating the country of the third wave you have chosen, the reasons for choosing this country, and the news source/s that you will be following throughout the semester (with the web address/es).

- Dahl, Robert. 1971. *Polyarchy. Participacion and Opposition*. New Haven and London: Yale University Press. Chapter 1, pp. 1-16.
- Schumpeter, Joseph A. 1942. *Capitalism, Socialism, and Democracy*. New York: Harper & Row, Publishers. Chapter XXII, “Another Theory of Democracy,” pp. 269-283.
- Sen, Amartya. 1999. "Democracy as a Universal Value." *Journal of Democracy* 10 (3):3-17.
- Schmitter, Philippe C., and Terry Lynn Karl. 1991. "What Democracy Is ... and Is Not." *Journal of Democracy* 2 (5):75-88.

Week 4: January 30th

First short paper due at the beginning of class

- O'Donnell, Guillermo. 1999 [1994]. "Delegative Democracy." In *Counterpoints*. Notre Dame, Indiana: University of Notre Dame Press, 159-173.
- Zakaria, Fareed. 1997. "The Rise of Illiberal Democracy." *Foreign Affairs*:22-43.
- Collier, David, and Steven Levitsky. 1997. "Democracy with Adjectives: Conceptual Innovation in Comparative Research." *World Politics* 49 (3):430-451.

- Munck, Gerardo L., and Jay Verkuilen. 2002. "Conceptualizing and Measuring Democracy. Evaluating Alternative Indices." *Comparative Political Studies* 35 (1):5-34.
- Go to the website of the *Polity IV* database: <http://www.cidcm.umd.edu/inscr/polity/>. Evaluate the main indicators they use to measure democracy.
- Go to the *Freedom House* website: www.freedomhouse.org. Evaluate the methodology section of their *Freedom in the World 2005 Report*

Part 2: Capitalism, Development, and Democracy

Week 5: February 6th - Capitalism and Democracy

- Moore, Barrington Jr. 1966. *Social Origins of Dictatorship and Democracy. Lord and Peasant in the Making of the Modern World*. Boston: Beacon Press. Chapter 7: "The Democratic Route to Modern Society," pp. 413-432.
- Rueschemeyer, Dietrich, Evelyne Stephens Huber, and Stephens John D. 1992. *Capitalist Development and Democracy*. Chicago: Chicago UP. Chapter 3: "Capitalist Development and Democracy: A Theoretical Framework," pp. 40-78.
- Dahl, Robert A. 1998. *On Democracy*. New Haven and London: Yale UP. Chapters 13 "Why Market-Capitalism Favors Democracy," and Chapter 14 "Why Market-Capitalism Harms Democracy," pp. 166-179.
- Dominguez, Jorge I. 1998. "Free Politics and Free Markets in Latin America." *Journal of Democracy* 9 (4):70-84.
- Karl, Terry Lynn. 2000. "Economic Inequality and Democratic Instability." *Journal of Democracy* 11 (1):149-156.

Week 6: February 13th - Economic Development and Democracy

Second short paper due at the beginning of class

- Lipset, Seymour Martin. 1959. "Some Social Requisites of Democracy: Economic Development and Political Legitimacy." *American Political Science Review* 53 (1):69-105.
- Przeworski, Adam, and Fernando Limongi. 1997. "Modernization: Theories and Facts." *World Politics* 49 (2):155-183.
- Boix, Carles, and Susan C. Stokes. 2003. "Endogenous Democratization." *World Politics* 55: 517-49.
- Mainwaring, Scott, and Aníbal Pérez-Liñán. 2003. "Level of Development and Democracy. Latin American Exceptionalism, 1945-1996." *Comparative Political Studies* 36 (9):1031-1067.
- Bueno de Mesquita, Bruce, and George W. Downs. 2005. "Development and Democracy." *Foreign Affairs* 84 (5):77-86.

Part 3. Social Actors, Political Institutions, and Democratization

Week 7: February 20th - The Third Wave and Democratic Transitions

- Rustow, Dankwart. 1970. "Transitions to Democracy." *Comparative Politics* 2 (3):337-363.
- Huntington, Samuel P. 1991. *The Third Wave. Democratization in the Late Twentieth Century*. Norman and London: University of Oklahoma Press. Chapter 1: What?, pp. 3-30.
- O'Donnell, Guillermo, and Philippe C. Schmitter. 1986. *Transitions from Authoritarian Rule. Tentative Conclusions about Uncertain Democracies*. Baltimore and London: The Johns Hopkins University Press.

Week 8: February 27th - Transitions and Democratic Consolidation

- Linz, Juan J., and Alfred Stepan. 1996. *Problems of Democratic Transition and Consolidation. Southern Europe, South America, and Post-Communist Europe*. Baltimore and London: The Johns Hopkins University Press. Selection: Chapters 1 to 5, 9, 14, and 21 (pp. 3-83; 139-147; 219-230; 434-457).

Week 9: March 6th Spring Break: Enjoy!

Week 10: March 13th

No class (Instructor at a conference) - Work on your third short paper, due on March 20th. If you are planning on writing a final paper, work on your paper proposal.

Week 11: March 20th - Other Approaches to Transitions and Consolidation

Third short paper due at the beginning of class.

Final paper proposal due at the beginning of class for those who choose to write a final paper.

- Bellin, E. 2000. "Contingent democrats - Industrialists, labor, and democratization in late-developing countries." *World Politics* 52 (2):175-205.
- Wood, Elizabeth Jean. 2001. "An Insurgent Path to Democracy." *Comparative Political Studies* 34 (8):862-888.
- McFaul, Michael. 2002. "The Fourth Wave of Democracy AND Dictatorship. Noncooperative Transitions in the Postcommunist World." *World Politics* 54:212-244.
- Bunce, Valerie. 2003. "Rethinking Recent Democratization. Lessons from the Postcommunist Experience." *World Politics* 55:167-192.
- Carothers, Thomas. 2002. "The End of the Transition Paradigm." *Journal of Democracy* 13 (1):5-21.

Week 12: March 27th - On the Importance of Institutional Design

- Lijphart, Arend. 1999. *Patterns of Democracy. Government Forms and Performance in Thirty-Six Countries*. New Haven and London: Yale UP. Selection: Chapters 1, 4, 5, 7, 8, 10, 14 to 17 (pp. 1-8; 48-89; 116-170; 243-309)

Part 4. International Effects and Democratization

Week 13: April 3rd – Globalization, Regional Diffusion and Democratization

- Levitsky, Steven, and Lucan A. Way. 2005. "International Linkage and Democratization." *Journal of Democracy* 16 (3):20-34.
- Rudra, Nita. 2005. "Globalization and the Strengthening of Democracy in the Developing World." *American Journal of Political Science* 49 (4):704-730.
- Kopstein, Jeffrey S., and David A. Reilly. 2000. "Geographic Diffusion and the Transformation of the Postcommunist World." *World Politics* 53:1-37.
- Brinks, Daniel and Michael Coppedge. 2001. Patterns of Diffusion in the Third Wave of Democracy. Paper presented at the APSA annual meeting, San Francisco, August 30-September 2. pp. 1-58.

Week 14: April 10th

Invited Lecturer: Prof. Daryl Press

- Bellin, E. 2004. "The robustness of authoritarianism in the Middle East - Exceptionalism in comparative perspective." *Comparative Politics* 36 (2):139-+.
- Other readings TBA

Week 15: April 17th (Passover)

Conclusion and wrap-up

- Readings TBA

April 28th: Take-home exam essay topics will be distributed over email by noon.

May 5th: take-home exams and final papers due by noon in my mailbox (Stiteler Hall, 217).